



JC03 Rec'd PCT/PTO 31 MAY 2005

PCT  
#3.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert Etches et al.  
Title : Transgenic Aves Producing Human Polyclonal Antibodies  
Docket No. : 700603.7  
Serial No. 10/508,808  
Filed: September 22, 2004  
Customer No. : 34313

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

1. ☒ Nucleotide and/or Amino Acid Sequence Submission (if application, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ Floppy Disc and Paper
  - c. ☒ Statement verifying identity of above copies
2. ☒ Copy of "Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures"
3. ☒ Preliminary Amendment
4. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

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CERTIFICATE OF MAILING  
37 CFR §1.10

Date: May 26, 2005

I hereby certify that on the dated listed above, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service in accordance with 37 C.F.R. § 1.10 as First Class Mail to Addressee, with sufficient postage in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lynne Fulmer

Applicant : Robert E. HES et al.  
Serial No. 10/508,808  
Docket No. : 700603.4005

5. The Commissioner is hereby authorized to charge a two month extension fee of \$225.00 to Deposit Account No. 15-0665. The Commissioner is authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 to Deposit Account No. 15-0665.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: May 26, 2005

By: 

Kurt T. Mulville, Reg. No. 37,194

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/508,808	Robert J Etches	700603.7/1

INTERNATIONAL APPLICATION NO.
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PCT/US03/09288

LA. FILING DATE	PRIORITY DATE
03/24/2003	03/22/2002

34313  
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ORRICK IP PROSECUTION

Due Date 3/28/05

Action Item Notice to Comply

Resp Atty KTM

Docketed by RMS

Entered 2/2/05

Date Mailed: 01/28/2005

CONFIRMATION NO. 3921

371 FORMALITIES LETTER



\*OC000000015034888\*

### NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

**RECEIVED**

FEB 03 2005

IRVINE OFFICE

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/508,808	PCT/US03/09288	700603.7/1

FORM PCT/DO/EO/922 (371 Formalities Notice)